

Exploring non-Euclidean photonics: Pseudosphere microlaser

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Classical and wave properties of microlasers with the shape of a truncated pseudosphere are investigated through experiments and numerical simulations. These pseudosphere microlasers are surface-like organic microlasers with constant negative curvature, which were fabricated with high optical quality by direct laser writing. It is shown that they behave, in many ways, similar to two-dimensional flat disks, regardless of their different Gaussian curvature. We derive the monodromy matrices for geodesics on the pseudosphere and demonstrate that the periodic geodesics are marginally stable. Actually, due to the rotational symmetry, the pseudosphere is an integrable system with marginally stable dynamics.

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I. INTRODUCTION

This work on non-Euclidean photonics explores the ray and wave dynamics on the pseudosphere, a surface with constant *negative* curvature. Actually, surfaces with *positive* curvature, like the sphere, the bottle [1], or the exterior of a toroid [2], have already given rise to abundant literature in photonics because of their relative ease of fabrication from glass by melting. On the contrary, negatively curved surfaces—a field of research also called *hyperbolic geometry*—were intensively investigated by mathematicians [3], theoretical physicists [4–8], and artists [9], while very few experiments were carried out [10,11] until the recent rise of three-dimensional (3D) printing at the microscale by direct laser writing (DLW) [12,13]. This mature technology contributes by now to photonics, as illustrated by the pseudospherical microlaser shown in Fig. 1.

In photonics, negatively curved surfaces provide two particular properties:

(1) On a curved surface light no longer propagates along straight lines, but along geodesics, which are the shortest paths between two points.

(2) On a negatively curved surface each geodesic is unstable [14,15]. That means that any pair of nearby trajectories

will diverge exponentially from each other, which is the signature of chaotic dynamics.

In this paper we resort to two simplifications by choosing curved surfaces with the following properties:

(1) The curvature is certainly negative, but moreover constant, which allows to analytically calculate the stability of geodesics, as outlined in Sec. IV, and gives access to the Poincaré half-plane (see Sec. II B), which is a very convenient parametrization for studying hyperbolic geometry.

(2) We consider a truncated surface of revolution, which preserves rotational symmetry, implying that the angular momentum is conserved, in addition to the energy. As propagation confined to the surface is a two-dimensional (2D) dynamical system, there are as many constants of motion as degrees of freedom, implying an integrable dynamics.

Among all the possible surfaces with these properties [16], we focus on the tractoid defined in Sec. II. This surface has already been widely investigated, in particular in Ref. [4]. Our main contributions to this field are the stability (\equiv monodromy) matrices for propagation and reflection exhibited in Sec. IV and derived in Appendix C. The demonstration is similar for classical massive particles and for light rays, as they basically follow the same equations [17]. We also show that each periodic geodesic is marginally stable, as expected for a classically integrable dynamics.

On the wave-dynamical level (both matter waves or electromagnetic waves), the rotational invariance makes the wave equation separable on the tractoid surface. Then, the radial

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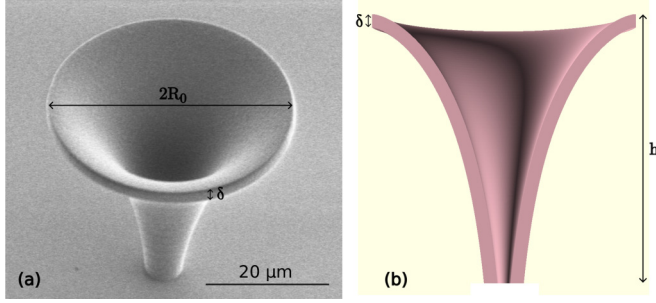


FIG. 1. (a) SEM image of a tractoid microlaser with radius $R_0 = 20 \mu\text{m}$ and thickness $\delta = 2 \mu\text{m}$. (b) Schematic of a half tractoid microlaser with the same radius and thickness. The cavity with finite thickness δ is obtained by vertically shifting two tractoid surfaces with respect to each other. The height of the microlaser is around $h = 40 \mu\text{m}$.

part of the Helmholtz equation (for light) is mathematically equivalent to a Schrödinger equation with an effective potential, which can be solved either analytically (Sec. VB) or numerically (Sec. VD), showing intriguing similarities to the flat circular disk. Moreover, experiments reported in Sec. III evidence lasing emission from whispering gallery modes (WGMs), like in most circular microresonators. Finally, Sec. VI concludes the comparison between the flat disk and the tractoid with a full 3D numerical analysis, taking into account the actual thickness and polarization.

II. TRACTOID AND POINCARÉ HALF-PLANE

This first section introduces the tractoid surface and its representation in the Poincaré half-plane.

A. Parametrization of the tractoid

A pseudosphere (or pseudospherical surface) is a 2D surface embedded in the 3D-Euclidean space with constant negative Gaussian curvature. The simplest example of a pseudosphere is the tractoid, which is studied hereafter. A tractoid of radius R_0 is a surface having a Gaussian curvature $-\frac{1}{R_0^2}$ at each point. For obvious similarities with the sphere of radius R_0 , whose Gaussian curvature is $+\frac{1}{R_0^2}$, the tractoid is commonly referred to as a pseudosphere in the literature. A typical parametrization of the tractoid surface $\vec{r} = \vec{F}(u, v)$ is

$$X = F_X(u, v) = R_0 \frac{\cos(v)}{\cosh(u)} \quad (1)$$

$$Y = F_Y(u, v) = R_0 \frac{\sin(v)}{\cosh(u)} \quad (2)$$

$$Z = F_Z(u) = R_0[u - \tanh(u)], \quad (3)$$

where R_0 is the radius of the tractoid, $u \in [0, +\infty)$ is the parameter along the generatrix, and $v \in [0, 2\pi)$ is the azimuthal angle (cf. Fig. 2). With this choice of local coordinates (u, v) , the metric tensor has a form which is common for all surfaces of revolution (SORs),

$$ds_{\text{SOR}}^2 = E(u)du^2 + G(u)dv^2, \quad (4)$$

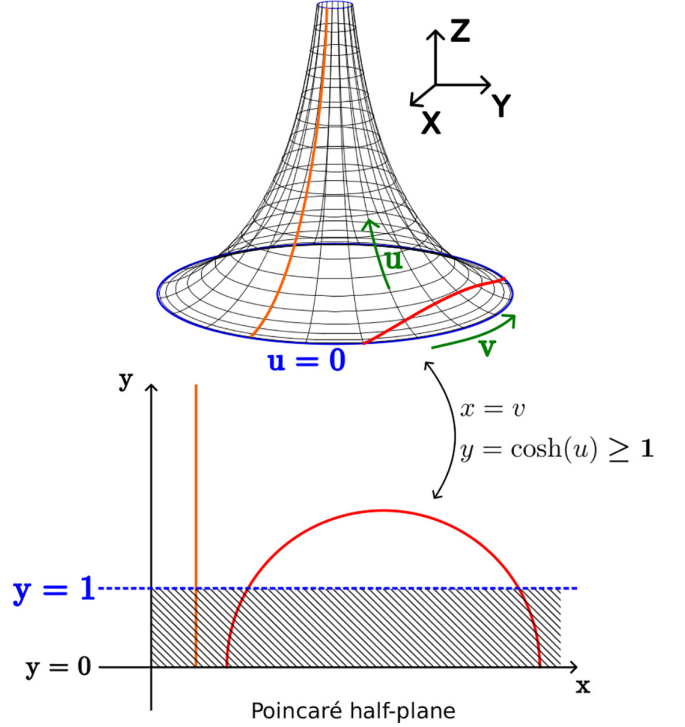


FIG. 2. Transformation from the tractoid to the Poincaré half-plane. Two kinds of geodesics corresponding in the Poincaré half-plane either to a vertical line (orange) or to a half-circle whose center is on the x axis (red) are sketched in both geometries. The blue circular lower boundary of the tractoid is mapped into the horizontal line $y = 1$. Finally, the hatched area cannot be mapped on the tractoid.

where E and G are related to the radius of revolution $R = \sqrt{X^2 + Y^2}$ and to Z as

$$G(u) = R^2(u) \quad (5)$$

$$E(u) = \left(\frac{dR}{du}\right)^2 + \left(\frac{dZ}{du}\right)^2. \quad (6)$$

For the specific case of the tractoid, we find

$$G(u) = \frac{R_0^2}{\cosh^2 u} \quad (7)$$

$$E(u) = R_0^2 \tanh^2 u. \quad (8)$$

Even though the tractoid is the simplest example of a surface with constant negative curvature embedded in \mathbb{R}^3 , computations can become tedious and it is generally more convenient to work in the Poincaré half-plane, which is depicted in Fig. 2 and further described in the next paragraph.

B. The Poincaré half-plane

The Poincaré half-plane representation corresponds to the upper half-plane $\mathbb{H} = \{(x, y) \in \mathbb{R}^2 \mid y > 0\}$ endowed with the Poincaré metric

$$ds_{\text{HP}}^2 = R_0^2 \frac{dx^2 + dy^2}{y^2}. \quad (9)$$

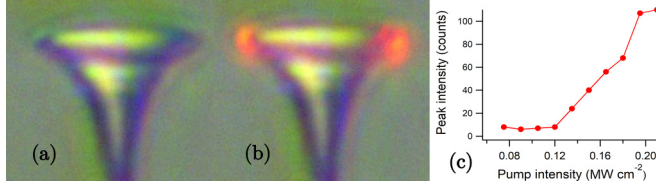


FIG. 3. Photographs of a tractoid microlaser illuminated with a low-intensity white light without pumping (a) and with pumping (b). The green pump light is removed by a notch filter, while the laser emission is orange. (c) Intensity of the peak at 601.56 nm vs the pump intensity. The threshold is about 0.12 MW cm^{-2} . Above 0.2 MW cm^{-2} the curve has an irregular increase (not shown), which is a signature of mode competition.

The transformation

$$([0; +\infty), [0; 2\pi)) \longrightarrow \mathbb{H}$$

$$(u, v) \longmapsto (x, y) = [v, \cosh(u)], \quad (10)$$

which maps the tractoid onto the subspace $\mathbb{A} = \{(x, y) \in \mathbb{R}^2 \mid y \geq 1\}$ of \mathbb{H} , preserves both the angles (conformal mapping) and the distances (isometry). Therefore, both the geodesic equation and the wave equation are conserved under this mapping [18]. In particular, this means that distances can be computed on the tractoid as well as in the Poincaré half-plane. The length of a geodesic starting at (x_1, y_1) and ending at (x_2, y_2) equals [4]

$$L = 2R_0 \operatorname{arcsinh} \left(\frac{\sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}}{2\sqrt{y_1 y_2}} \right). \quad (11)$$

Note that the edge $u = 0$ of the tractoid is mapped to the line $y = 1$ (blue line in Fig. 2), and only the part of the Poincaré plane above $y = 1$ is accessed by the transformation Eq. (11).

The main advantage of working in the Poincaré half-plane is that the functional dependence of the geodesics on the coordinates is simple. Indeed, there are only two kinds of geodesics. These are either parts of circles, of which the centers are on the x axis, or they are straight lines $x = \text{const.}$ perpendicular to the x axis, as shown in Fig. 2. Therefore, most of our calculations will be done in the Poincaré half-plane.

III. TRACTOID MICROLASER AND EXPERIMENTAL RESULTS

In this section we briefly explain the fabrication of the tractoid microlasers by DLW, we describe the experimental setup, and exhibit results regarding the analysis of experimental laser spectra.

A. Tractoid fabrication and experimental setup

In the experiment, we designed a curved waveguide in the shape of the tractoid by shifting two tractoid surfaces of radius $R_0 = 20 \mu\text{m}$ with respect to each other. The distance between the two tractoid surfaces, $\delta = 2 \mu\text{m}$, defines the thickness of the tractoid microcavity [cf. Fig. 1(b)]. It was cut at $u_{\text{max}} = 3$, which corresponds to a height of about $40 \mu\text{m}$. The 3D design of the tractoid microlasers was created using the free OPENCAD software. We would like to stress at this point that the tractoid microcavity differs from a mathematical tractoid surface because of its finite size, which is

accounted for in the computations by considering truncated tractoids. Another difference is the finite thickness of this and other curved microcavities. Yet, they can still be described by the scalar Helmholtz equation using the concept of the effective index of refraction [13], as outlined below.

The tractoid microlasers were then fabricated by DLW lithography using the Photonic Professional GT+ system with negative resist IP-G from the company Nanoscribe. The resist was doped by 0.5 wt% pyrromethene 597 laser dye (by the company Exciton) which was homogeneously distributed in the bulk host resist. A scanning electron microscope (SEM) image of a tractoid microlaser is shown in Fig. 1(a).

The tractoid microlasers were pumped individually and with uniform intensity by a beam perpendicular to the substrate from a frequency-doubled Nd:YAG laser (532 nm, 500 ps, 10 Hz). Their emission was analyzed by a spectrometer coupled to a CCD camera, providing an overall resolution of 0.03 nm. The experiments were carried out at room pressure and temperature. The microlaser light was mostly emitted parallel to the substrate plane. The photograph in Fig. 3(b) shows a tractoid microcavity under pumping. The laser emission mainly originates from the circular boundary at a near grazing angle and is observed from all directions, which is consistent with the rotational symmetry of the microcavity. These observations are reproducible from sample to sample, thus indicating that the lasing modes are mainly WGMs as confirmed by the analysis of the laser emission spectra presented in the next section. A typical comb-like laser spectrum is shown in Fig. 4(a).

The threshold curve plotted in Fig. 3(c) evidences the laser effect. The laser threshold is about 0.12 MW cm^{-2} , which is one order of magnitude lower than for microlasers of similar size and materials, evidencing the high quality factor of WGM.

Moreover, the tractoid microlaser emission is polarized with the electric field oriented parallel to the substrate, which corresponds to transverse electric polarization (TE) and will be discussed further in Sec. VI.

B. Spectrum analysis

A typical experimental laser spectrum is presented in Fig. 4(a). It is composed of several frequency combs. For each comb, the free spectral range or distance between two adjacent wave numbers Δk is inversely proportional to the geometric length L of the corresponding classical periodic orbit, as may be inferred from the semiclassical approximation of the spectral density [19]

$$\Delta k = \frac{2\pi}{n_g L}. \quad (12)$$

Here, n_g is the group refractive index, whose calibration protocol is detailed in Appendix V of Ref. [13] and yields $n_g = 1.58 \pm 0.05$. The meaning of the bulk, effective, and group indices involved in this study is explained in Appendix A.

In Fig. 4(b), the Fourier transform of the spectrum was calculated via three different methods, which are discussed in detail in Appendix B. Our conclusion is that the position of the peak is most accurately determined with the Lomb method and moreover the result is less noisy.

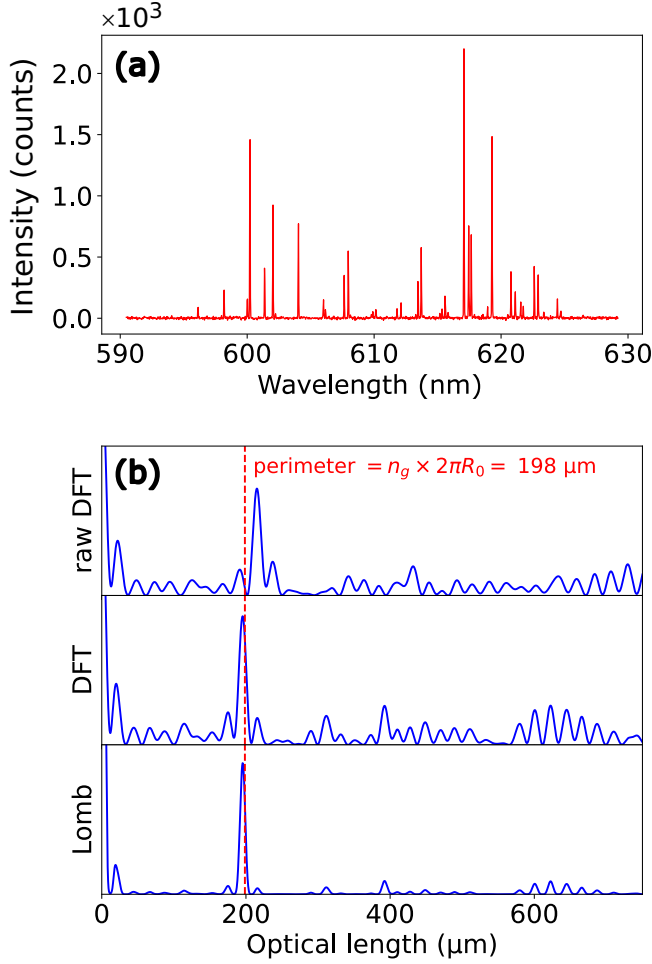


FIG. 4. (a) Experimental laser spectrum of a tractoid microlaser. (b) Normalized Fourier transform of the spectrum in (a) from wave number to optical length using three different numerical methods: (top) discrete Fourier transform (DFT) algorithm which assumes that the sampling is regular, while the actual experimental data are not regularly sampled; (middle) same DFT algorithm, but taking into account the actual spacing between data points; and (bottom) Lomb transform. The perimeter of the tractoid microlaser is indicated by the red dashed line.

The Fourier transform is peaked at $n_g L = (193 \pm 6) \mu\text{m}$. This length is slightly smaller than the perimeter of the tractoid $P = n_g 2\pi R_0 = (198 \pm 10) \mu\text{m}$ as shown by the dashed red line in Fig. 4(b). It thus corresponds to WGMs propagating along the circular boundary of the microlaser at $u = 0$, which is consistent with the observation of emission spots located on the boundary of the tractoid [cf. Fig. 3(b)], as discussed in Sec. III A.

IV. STABILITY OF THE PERIODIC GEODESICS

This section deals with the classification and stability of periodic geodesics on the tractoid. Since the experiments were carried out in the semiclassical regime $kR_0 \simeq 200$, the geometrical optics approach is relevant. In this regime, the spectral density is well approximated in terms of a sum over periodic geodesics with a weighting depending on their sta-

bility [20], and thus experimentally accessible through the Fourier transform of the spectra. We demonstrate here that each periodic geodesic of the full tractoid $u \in [0, +\infty[$ is marginally stable, as expected from the rotational invariance. The case of the truncated tractoid is similar and analyzed in Appendix C 4.

A. Classification of the periodic geodesics

To determine the stability of the periodic geodesics of the full tractoid with $u \in [0, +\infty[$, we work in the Poincaré half-plane, since there is a one-to-one correspondence between geodesics on the actual tractoid and in the Poincaré half-plane where their expression is simpler (cf. Sec. II B).

There exist only two families of geodesics, the vertical lines $x = \text{constant}$ and arcs of circles with center on the x axis $y = 0$. A trajectory on the tractoid cannot switch from one kind of geodesics to the other one. Indeed, when moving along a straight-line geodesic, the ray is reflected back at $y = 1$, while a ray evolving on a circular-arc type geodesic does not impinge the line $y = 1$ perpendicularly. Therefore, arcs of circles are the only way to obtain periodic geodesics.

Since the angles before and after reflection are the same, the ray propagates along a periodic array of identical circular arcs. Two examples are depicted in Fig. 5. Thus, periodic trajectories are uniquely identified by a pair of positive, relatively prime integers (M, P) where M is the number of bounces on the boundary $y = 1$ and P is the winding number, that is, the number of complete rotations of 2π around the tractoid. Thus, the periodic geodesics on the tractoid have the same structure as periodic orbits of the flat disk.

The length $L_{M,P}$ of the (M, P) -periodic geodesic as well as $\theta_{M,P}$ the angle between the line $y = 1$ and the geodesic at the reflection point read (cf. Fig. 5 for the notations)

$$L_{M,P} = 2MR_0 \text{arcsinh}\left(\frac{P}{M} \pi\right) \quad (13)$$

$$\tan \theta_{M,P} = \frac{P}{M} \pi. \quad (14)$$

They will be used to determine the stability of the periodic geodesics in the next paragraph.

B. Stability of the periodic geodesics

The stability of the (M, P) -periodic geodesic depends on the eigenvalues of its monodromy matrix \mathcal{M} , which describes the result of a small perturbation of the initial conditions on the trajectory (see Appendix C for the definition). Since the (M, P) -periodic geodesic corresponds to M repetitions of the same circular arc, \mathcal{M} is the product $\mathcal{M} = (\mathcal{M}_0)^M$ where $\mathcal{M}_0 = \mathcal{P} \mathcal{R}$ is the product of \mathcal{P} the monodromy matrix for the propagation along a single circular arc, and \mathcal{R} the monodromy matrix for reflection on the circular boundary of the tractoid.

After computations based on Ref. [21] and detailed in Appendix C, the monodromy matrix $\mathcal{P}(t)$ for the propagation along a geodesic over a distance t is given by

$$\mathcal{P}(t) = \begin{pmatrix} \cosh\left(\frac{t}{R_0}\right) & R_0 \sinh\left(\frac{t}{R_0}\right) \\ \frac{1}{R_0} \sinh\left(\frac{t}{R_0}\right) & \cosh\left(\frac{t}{R_0}\right) \end{pmatrix}. \quad (15)$$

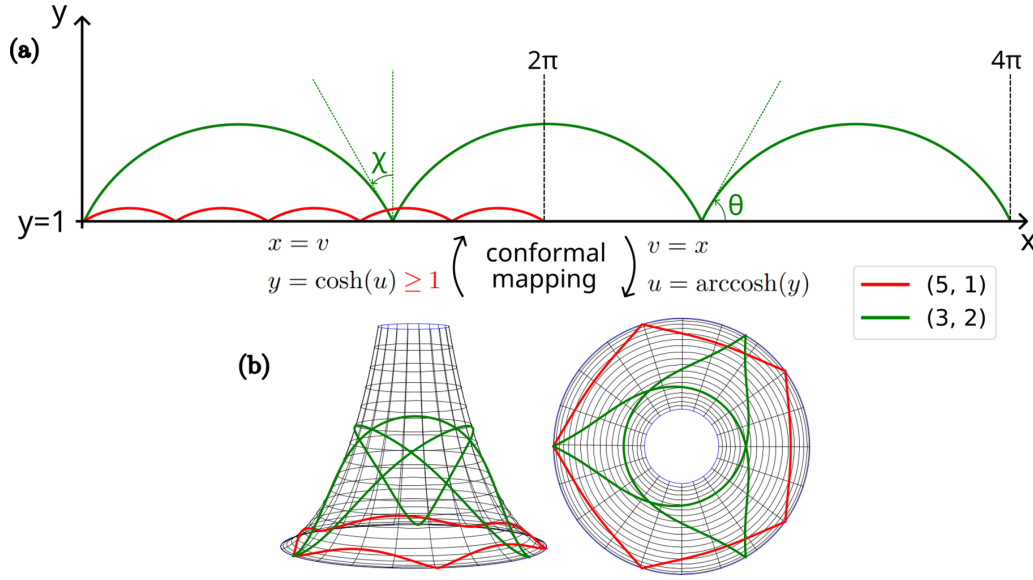


FIG. 5. Periodic geodesics with indices $(M, P) = (5, 1)$ (red) and $(M, P) = (3, 2)$ (green) (a) in the Poincaré half- plane and (b) on the tractoid.

This expression is relatively simple because the tractoid has a constant Gaussian curvature. Then, the general formula for the monodromy matrix of reflection with incident angle χ of a ray propagating on a curved surface is

$$\mathcal{R}(\chi) = \begin{pmatrix} -1 & 0 \\ \frac{2\epsilon\kappa_t}{\cos \chi} & -1 \end{pmatrix}, \quad (16)$$

where $\epsilon = \pm 1$, and κ_t is the modulus of the tangent curvature (also called geodesic curvature), which measures the curvature of the boundary in the plane which is tangent to the surface and is also the plane of incidence of the trajectory. General formulas for κ_t and ϵ are given in Appendix C 2. For a reflection on the lower circular boundary of the tractoid $u = 0$, $\epsilon = +1$, and $\kappa_t = \frac{1}{R_0}$. Then, using formulas (13) and (14), one obtains (see Appendix C 3)

$$\text{tr}[\mathcal{M}_0(M, P)] = \text{tr}[\mathcal{P}(M, P)\mathcal{R}(M, P)] = 2. \quad (17)$$

As $\det \mathcal{M}_0 = 1$, the two eigenvalues of \mathcal{M}_0 are both equal to 1. Therefore, like for the flat disk, every periodic geodesic is *marginally stable*. This might come as a surprise because the propagation itself is unstable, i.e., $\text{tr}(\mathcal{P}) > 2$. Yet, the focusing effect from the reflection at the boundary cancels the divergence during propagation. Of course, it is also a consequence of the rotational symmetry of the tractoid.

Finally, using a ray-tracing algorithm, we numerically compute the Poincaré surface of section (PSOS) of the tractoid. As shown by Fig. 6, every trajectory covers a horizontal line with $\sin \chi = \text{constant}$, which is a manifestation of angular momentum conservation. The phase space structure is identical to that of a flat disk. There are neither stable islands nor a chaotic sea which is typical for integrable billiard systems.

To conclude this section, every periodic geodesic is marginally stable, as expected for a surface of revolution. It should be noted that the truncated tractoid ($u \in [0, u_{\max}]$) is also a surface of revolution. While other periodic geodesics

exist in this geometry, their stability is also neutral, as outlined in Appendix C 4.

V. SOLUTIONS OF THE WAVE EQUATION WITH DIRICHLET BOUNDARY CONDITIONS

The electromagnetic field obeys Maxwell's equations with a refractive index $n(\vec{r})$ being 1.51 inside the tractoid [22] and 1 outside. The numerical simulations of Sec. VI make no additional assumption [23]. In this section, we simplify the physical system to perform analytical calculations and provide a deeper understanding.

Following the “effective index approximation” for curved layers proposed in Ref. [13], we assume that the light is well confined within the layer and propagates with an effective

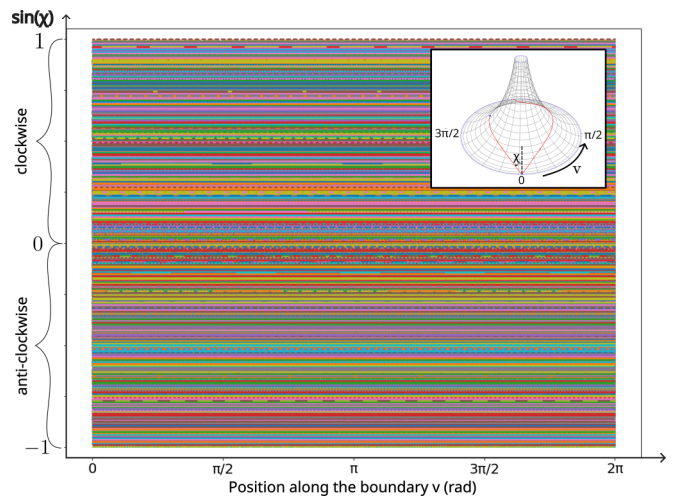


FIG. 6. Poincaré surface of section for the truncated tractoid ($u_{\max} = 2$). Each color corresponds to a specific initial condition (v, χ) . Inset: Definition of the incident angle χ and the curvilinear coordinate v for the position on the boundary.

index n_{eff} , which accounts for the varying refractive indices in the direction perpendicular to the surface. Furthermore, Ref. [24] demonstrated that the tractoid surface should support two distinct polarization classes of modes, transverse electric modes or TE modes (resp. transverse magnetic modes or TM modes) for which the electric (resp. magnetic) is parallel to the tractoid surface. Actually, all the necessary assumptions of Ref. [24] are valid for the tractoid, namely, it is a surface of revolution, its main curvature H is null, and the lasing wavelength is much smaller than the scale of the curvature. Experimental results also indicate that the lasing modes of the tractoid microlaser are TE modes (with the electric field in the plane $Z = 0$). Hence, we can resort to the 2D scalar Helmholtz equation

$$(\Delta_s + n_{\text{eff}}^2 k^2) \psi = 0, \quad (18)$$

where Δ_s is the Laplace operator restricted to the tractoid surface, and the wave function ψ corresponds to the component of the magnetic (resp. electric) field normal to the surface for TE modes (resp. TM modes).

Due to rotational symmetry, the wave equation (18) is separable, and can be solved analytically. To simplify the analytical treatment, and later on facilitate the comparison with the flat disk, we impose Dirichlet boundary conditions, i.e., $\psi(u = 0, v) = 0, \forall v \in [0, 2\pi[$. In this case, n_{eff} acts merely as a scaling factor, so we set $n_{\text{eff}} = 1$.

In Sec. V A, we separate variables in order to write Eq. (18) as a Schrödinger-like equation along the radial coordinate. The localization of the wave at the boundary $u = 0$ can then be interpreted through an effective potential. Then, in Sec. V B, we derive the analytical solutions of Eq. (18), which are compared to the analytical solutions of the flat disk in Sec. V C. As the analytical solutions of the tractoid are not easy to handle, we propose an efficient numerical method in Sec. V D to provide a large number of eigenvalues. Finally, we show that their distribution is consistent with an integrable system.

A. Schrödinger-like equation

The Laplacian operator is given by

$$\Delta_s \psi = \frac{1}{\sqrt{g}} \sum_{i,j=1}^2 \frac{\partial}{\partial q_i} \left(\sqrt{g} g^{ij} \frac{\partial \psi}{\partial q_j} \right) \quad (19)$$

with g_{ij} the metric tensor, g^{ij} its inverse, and $g = \det(g_{ij})$. Hereafter, the derivation is presented in the Poincaré half-plane for simplicity. The equivalent derivation in the actual tractoid is described in Appendix D. The metric tensor of the Poincaré half-plane is $g_{ij} = R_0^2 y^{-2} \delta_{ij}$. Then, the Laplace operator in the Poincaré half-plane is

$$\Delta_s = \frac{y^2}{R_0^2} \Delta_{xy} = \frac{y^2}{R_0^2} (\partial_x^2 + \partial_y^2) \quad (20)$$

with $\Delta_{xy} = \partial_x^2 + \partial_y^2$ the Laplace operator in the Euclidean plane. Hence, the wave equation becomes

$$[y^2 \Delta_{xy} + (kR_0)^2] \psi = 0. \quad (21)$$

This equation can be solved by variable separation using the ansatz $\psi(x, y) = \zeta(y) e^{imx}$ with $m \in \mathbb{Z}$. By inserting the

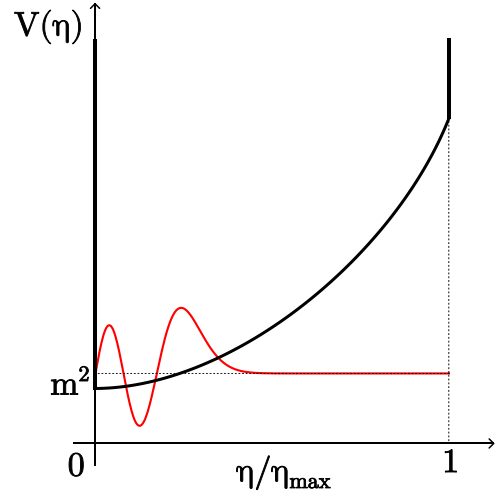


FIG. 7. Effective potential well, $V_{\text{eff}}^{(m)}(\eta) = m^2 e^{2\eta}$, for $m = 60$ emerging from the Schrödinger-like equation (24) for the truncated tractoid (black solid line). $\eta = 0$ corresponds to the boundary $u = 0$. The red line is the real-valued wave function corresponding to $m = 60$ and $p = 3$. With a μ^2 offset, it oscillates around the horizontal dotted line which is positioned at the eigenenergy μ^2 .

expression of ψ in the wave equation (21), we obtain the equation satisfied by ζ ,

$$-y^2 \frac{d^2 \zeta}{dy^2} + y^2 m^2 \zeta(y) = (kR_0)^2 \zeta(y). \quad (22)$$

The y^2 term in front of the second derivative is removed with the change of variable $y = e^\eta$. Then, the first-order derivative is removed by introducing the function $\xi(\eta)$ defined by

$$\zeta(\eta) = e^{\frac{\eta}{2}} \xi(\eta). \quad (23)$$

Finally, we obtain a Schrödinger-like equation satisfied by ξ

$$-\frac{d^2 \xi}{d\eta^2} + m^2 e^{2\eta} \xi(\eta) = \mu^2 \xi(\eta), \quad (24)$$

where $\mu^2 = (kR_0)^2 - \frac{1}{4}$. This equation corresponds to a Schrödinger equation for a particle trapped in the potential well $V_{\text{eff}}^{(m)}(\eta) = m^2 e^{2\eta}$. It is plotted for $m = 60$ in Fig. 7 together with the occupation probability density $\psi(u, v)^2$ with $(m, p) = (60, 3)$, which is similar to that for the corresponding flat disk near the bottom of the effective potential, at the boundary $u = 0$, i.e., $\eta = 0$.

Furthermore, Eq. (24) can be transformed into a Bessel equation with imaginary index, as shown in the next paragraph.

B. Analytic solutions

In this section, we exhibit analytical solutions of the 2D wave equation for the tractoid [6] and compare them with the flat disk.

We start from the wave equation (22) obtained in the Poincaré half-plane after separation of the variables, and perform the variable change $w = my$. Then, the function change

$\zeta(w) = \sqrt{w} \gamma(w)$ leads to

$$\frac{d^2 \gamma(w)}{dw^2} + \frac{1}{w} \frac{d\gamma(w)}{dw} - \left[1 + \frac{\frac{1}{4} - (kR_0)^2}{w^2} \right] \gamma(w) = 0, \quad (25)$$

which is actually the equation for a modified Bessel function with index $\tilde{\mu}$, where $\tilde{\mu}^2 = 1/4 - (kR_0)^2$ and $\tilde{\mu} = i\sqrt{(kR_0)^2 - 1/4} \equiv i\mu$, which is purely imaginary for $kR_0 > 1/4$. This equation has two linearly independent solutions which are called the modified Bessel function of the first and the second kind $I_{i\mu}$ and $K_{i\mu}$. They are related to the Bessel functions of the first and the second kind by

$$I_{i\mu}(w) = e^{\mu \frac{\pi}{2}} J_{i\mu}(iw) \quad (26)$$

$$K_{i\mu}(w) = \frac{i\pi}{2} e^{-\mu \frac{\pi}{2}} [J_{i\mu}(iw) + iY_{i\mu}(iw)]. \quad (27)$$

A general solution of the wave equation can thus be written as a linear combination of $I_{i\mu}$ and $K_{i\mu}$. However, $I_{i\mu}(w)$ diverges for $w \rightarrow \infty$, while $K_{i\mu}(w)$ is finite. Therefore, if we consider the whole tractoid with $u \rightarrow \infty$ (i.e., $w \rightarrow \infty$), only the $K_{i\mu}$ solution should remain:

$$\psi(u, v) = C e^{imv} \sqrt{m \cosh u} K_{i\sqrt{(kR_0)^2 - 1/4}}(m \cosh u) \quad (28)$$

with $C \in \mathbb{C}$ a constant. For simplicity, we consider the Dirichlet boundary condition at $u = 0$, that is,

$$K_{i\sqrt{(kR_0)^2 - 1/4}}(m) = 0. \quad (29)$$

For a given integer m , the real k values satisfying Eq. (29) are denoted as $k_{m,p}$ with $p = 1, 2, \dots$ the radial order of the root. Finding these roots $k_{m,p}$ is still an open problem in spite of the broad bibliography. Recently, it became possible to obtain an analytical solution of this equation for large values of k [25]. Nevertheless, the matrix method developed in Sec. VD provides an efficient way to approximate these roots. Indeed, the eigenvalues computed in Sec. VD are compared to the roots of Eq. (29) around $m = 130$ computed by the FindRoot command on MATHEMATICA and plotted in Fig. 8. The agreement between both spectra is excellent, with a relative difference smaller than 4×10^{-6} for the first branch $p = 1$ and around 2×10^{-5} for the third branch $p = 3$.

C. Comparison with the flat disk

In the previous paragraph, we showed that the wave equation of the tractoid can be solved analytically. Its spectrum will be now compared with the spectrum of the flat disk.

Solutions of the radial wave equation for a disk of radius R_0 with Dirichlet boundary conditions are the Bessel function of the first kind, that is, $\psi(r, \varphi) = J_m(kr)\phi(m\varphi)$, with $\phi(x) = \cos x$ or $\phi(x) = \sin x$ in polar coordinates (r, φ) with $m \in \mathbb{N}$. The spectrum is real positive and is given by the boundary conditions

$$J_m(kR_0) = 0. \quad (30)$$

A major difference with Eq. (29) for the tractoid is that there the wave number k appears in the index $i\mu$ of the modified Bessel function and not in the argument, as in Eq. (30). The spectra of the disk and the tractoid are plotted in Fig. 9. When m is sufficiently large, and p small, modes of the disk

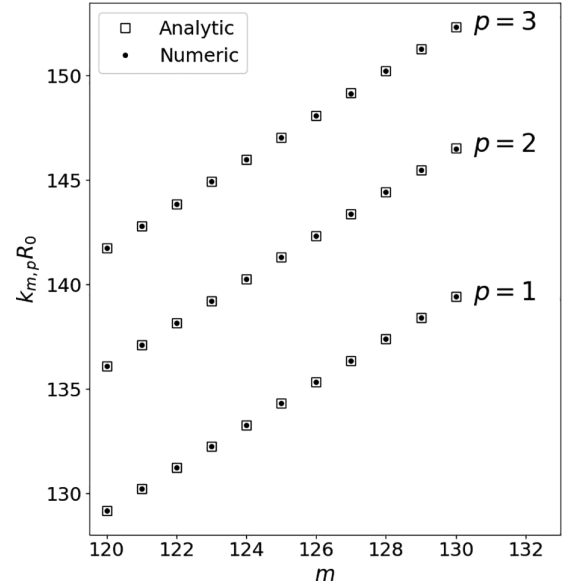


FIG. 8. Comparison between the analytical spectrum of the tractoid computed with MATHEMATICA (open squares) and the numerical spectrum computed using the matrix approach developed in Sec. VD (dots).

and the tractoid are very close to each other. For $p = 1$ and $m \simeq 100$, the relative difference between modes of the disk and the tractoid is around 0.2%. When p gets larger, the difference also increases. Actually, for a large azimuthal number m and a small radial number p , the wave is mainly located along the circular boundary of the tractoid [cf. Fig. 10(a)], where the tractoid surface looks similar to a flat disk.

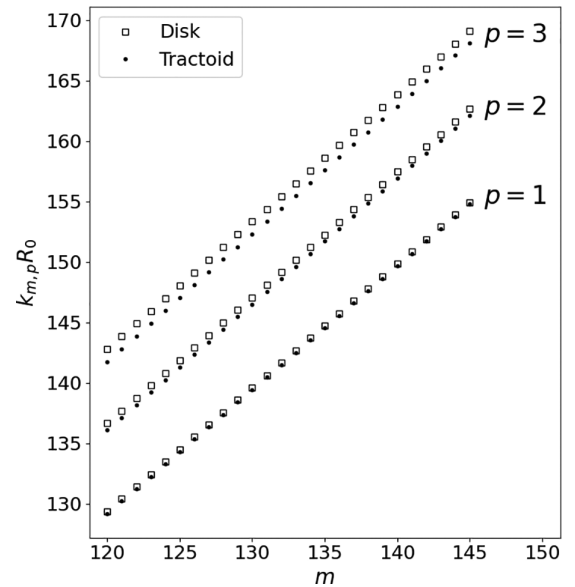


FIG. 9. Comparison between the spectrum of the flat disk (squares) and the spectrum of the tractoid (dots). The radial order p is equal to 1 (bottom), 2 (middle), and 3 (top). Only modes in the range $m = 120$ –145 are shown. The disk spectrum is calculated analytically and the tractoid spectrum is obtained by the method described in section VD.

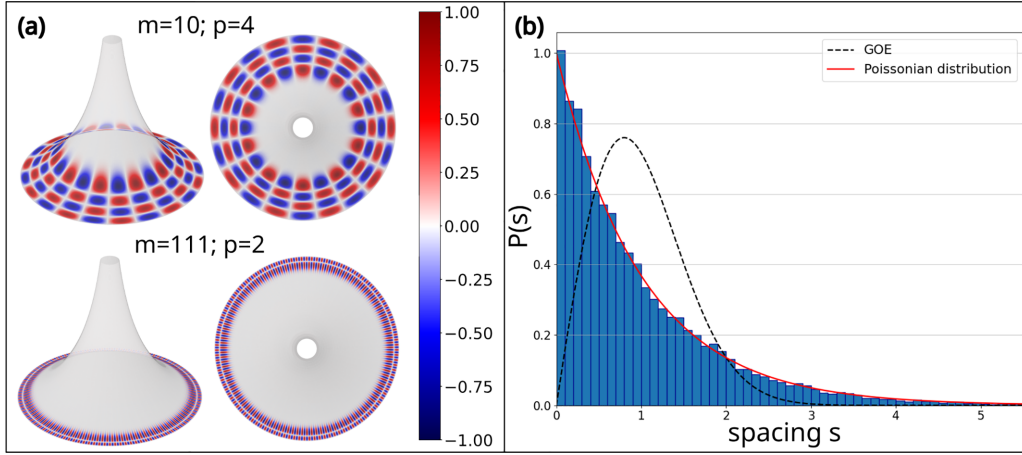


FIG. 10. (a) Different views of the (10, 4) mode (top) and the (111, 2) mode (bottom) calculated by the matrix approach of Sec. V D. The wave functions are real-valued. (b) Nearest-neighbor spacing distribution calculated based on the 4000 modes found between $kR_0 = 75$ and $kR_0 = 150$. The Wigner surmise for random matrices from the Gaussian orthogonal ensemble (GOE) is plotted with a dashed black line, and the Poissonian distribution with a solid red line.

D. Numerical procedure to solve the wave equation

Since the roots of Eq. (29) are not easy to compute, we employed a convenient numerical finite-element method to solve the Schrödinger-like Eq. (24) for the effective potential of Fig. 7 corresponding to the tractoid, which is explained in Appendix E.

For comparison with previous paragraphs, we consider Dirichlet boundary conditions at $\eta = 0$ (corresponding to $u = 0$). As discussed before, the wave functions are expected to be localized in the potential well at $\eta = 0$, and to be insensitive to the boundary condition at $\eta = \eta_{\max}$ (corresponding to a tractoid truncated at $u = u_{\max}$). Therefore, to simplify, we also apply Dirichlet boundary condition at $\eta = \eta_{\max}$. To summarize, we have to solve Eq. (24) with the Dirichlet boundary conditions $\xi(\eta = 0) = \xi(\eta_{\max}) = 0$, where $e^{\eta_{\max}} = \cosh(u_{\max})$.

After a discretization protocol explained in Appendix E, we obtain a system of linear equations that can be written with matrix notations as

$$(\mathcal{T} + \mathcal{V})\vec{\xi} = \mathcal{H}\vec{\xi} = \mu^2\vec{\xi}, \quad (31)$$

where the notation $\mathcal{H} = \mathcal{T} + \mathcal{V}$ has been used. \mathcal{T} is a tridiagonal matrix which accounts for the kinetic energy term and the effective potential is represented by the diagonal matrix \mathcal{V} . The operator \mathcal{H} is then equivalent to the Hamiltonian operator of a quantum mechanical system.

The problem of finding the wave functions and the corresponding wave numbers kR_0 has been turned into finding the eigenvectors and the eigenvalues of the tridiagonal matrix \mathcal{H} . It can be done by using the relatively robust representations method implemented on PYTHON in the `scipy` library. Similarly to the disk, modes are denominated by two integers, m and p . For a given azimuthal number $m \in \mathbb{Z}$, there are several solutions indexed by the radial number $p \in \mathbb{N}^*$. Some examples of wave functions are shown in Fig. 10(a). They resemble WGMs in a flat disk.

Using this numerical approach for $u_{\max} = 3$ and $N = 9400$ discretization points, we found about 4000 eigenenergies

from $kR_0 = 75$ to $kR_0 = 150$. By comparison with the Weyl formula (see Appendix F), we then obtained 98.5% of the eigenvalues in this range. The nearest-neighbor spacing distribution (NNSD) was calculated based on the method described in Appendix G and is plotted in Fig. 10(b). It exhibits a Poissonian distribution as expected for integrable systems [26].

To conclude this section, as the tractoid is rotationally symmetric, its wave equation is separable. Its radial part solves a modified Bessel equation. Its solutions are similar to the wave functions of the flat disk, at least near the bottom of the effective potential, at the boundary $u = 0$. This corroborates and enlightens the observation of lasing WGMs in experiments.

VI. MODE COMPUTATION IN THE DIELECTRIC TRACTOID CAVITY

In the previous section, we solved the 2D wave equation for the tractoid surface. In experiments, however, the microcavity has a finite thickness. Therefore, this section deals with 3D passive numerical simulations which take into account the thickness and the dielectric boundary of a realistic tractoid resonator. We also consider a disk with a small thickness (i.e., a flat cylinder) for comparison.

Numerical simulations of the passive system were performed with a homemade 3D finite difference time domain (FDTD) code. The radius is $R_0 = 8 \mu\text{m}$ and the thickness is $\delta = 0.15 \mu\text{m}$ for both cavities, and the height is $h = 4 \mu\text{m}$ for the tractoid. As we investigate modes with a wave number $\text{Re}(kR_0) \approx 100$, we expect a single vertical excitation in the thickness.

Due to their rotational symmetry, the modes of the disk and the tractoid are twofold degenerate. Therefore, we restrict the simulation domain to half a tractoid (and half a disk) and to even wave functions, which corresponds to imposing Neumann boundary conditions along the plane $X = 0$. The source is a linear dipole positioned in the plane $X = 0$ at $u = 0.23$, which is very close to the boundary. In Figs. 11 and 12, the source is oriented parallel to the Y axis, and thus excites

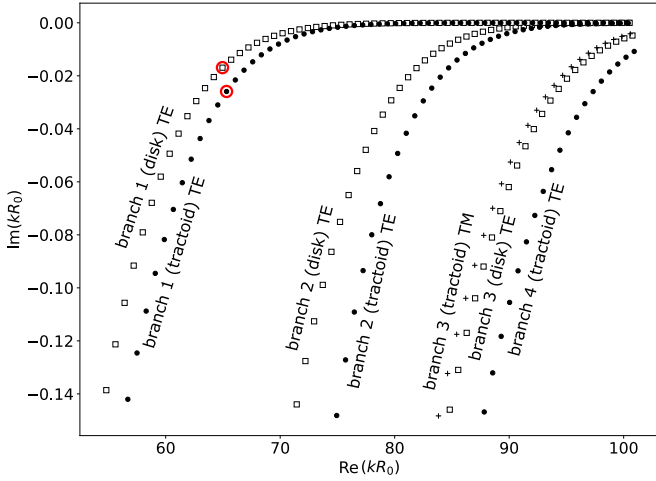


FIG. 11. Numerical spectrum calculated by 3D FDTD simulations for a tractoid cavity (dots for the TE modes and crosses for the TM modes) and a disk cavity (empty squares). The modes ($m = 70$, $p = 1$) for the disk and the tractoid are circled in red.

preferentially TE-polarized modes. However, if the source is parallel to the Z axis, the simulation does not yield any usable signal. The computed spectra of the tractoid and the disk are plotted in Fig. 11. The resonance wave numbers are organized in several branches. To get further insight into the mode structure, we compute the mode profile of a given resonance using a source with a very narrow frequency range around the central frequency of this specific mode. It is inferred from the mode profiles that each branch of the tractoid spectrum corresponds to a given radial excitation p , with increasing m azimuthal numbers, in full similarity with the disk spectrum. For a given pair (m, p) , the resonance wave number $k_{m,p}$ of the tractoid is slightly shifted toward higher frequencies and higher losses compared to the disk. For illustration, the modes ($m = 70$, $p = 1$) of the disk and the tractoid are circled in red in Fig. 11.

Figure 12 presents the four modes inferred from the tractoid simulations with a source at a central frequency $\text{Re}(kR_0) \simeq 100$. The profiles of these wave functions are in complete agreement with the results of Sec. V. Each mode belongs to a different branch of the spectrum of Fig. 11. The modes of branches 1, 2, and 4 are TE polarized with $p = 1$, 2, and 3 radial excitations respectively, while branch 3 is TM polarized with a single radial excitation $p = 1$. This splitting of polarizations is expected since the tractoid surface fulfills the corresponding assumptions of the model in Ref. [24]. For the disk, only TE modes are excited with such a source.

In experiments, TM modes are not lasing, which can be explained by the higher losses of TM modes compared to TE modes, as shown in Fig. 11.

Finally, Fig. 13 shows that this 3D numerical spectrum is very close to the analytic spectrum obtained in Secs. VB and VD, which validates *a posteriori* the use of Dirichlet boundary conditions in the analytic treatment, consistent with high-quality-factor WGMs [28].

VII. CONCLUSION

Organic tractoid microlasers were investigated both experimentally and theoretically. We evidenced that, thanks to its rotational symmetry, the tractoid shares many ray and wave properties with the 2D flat disk, despite its constant negative curvature.

From the level of ray dynamics, we calculated the monodromy matrices for propagation and reflection on a constant negative surface, and then showed that all periodic geodesics on the tractoid are marginally stable. From a wave point of view, we have numerical and experimental evidences that lasing modes are indeed WGMs. We noticed that modes exhibit two polarization states, namely, TE and TM polarization, similar to flat cavities. Finally, the scalar wave equation can also be solved analytically leveraging on the rotational symmetry to separate variables.

Working with the Poincaré half-plane representation significantly simplifies the computations, but most methods discussed in this paper can also be applied to any surface of revolution.

In summary, the tractoid is integrable because of its rotational symmetry and in spite of the exponential divergence of ray trajectories induced by the negative curvature. When breaking the rotational symmetry, however, chaotic dynamics is expected [7], which will be the focus of a further exploration in non-Euclidean photonics.

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APPENDIX A: BULK, EFFECTIVE, AND GROUP INDICES

This Appendix discusses the different refractive indices relevant for the microlasers presented here.

(1) The *bulk index* is the refractive index of the material itself. The bulk index of the resist IP-G was measured 1.51 at a wavelength of 600 nm in Ref. [22]. It is probably slightly different in our experiments due to the addition of the laser dyes and to the fabrication process. But as this index is difficult to measure precisely and its variation does not change significantly the results, we used the value 1.51 for the 3D simulations of Sec. VI.

(2) The *effective index* is the refractive index which is experienced by the light propagating in a guiding layer. This is why it is involved in Eq. (18). The effective index is lower than

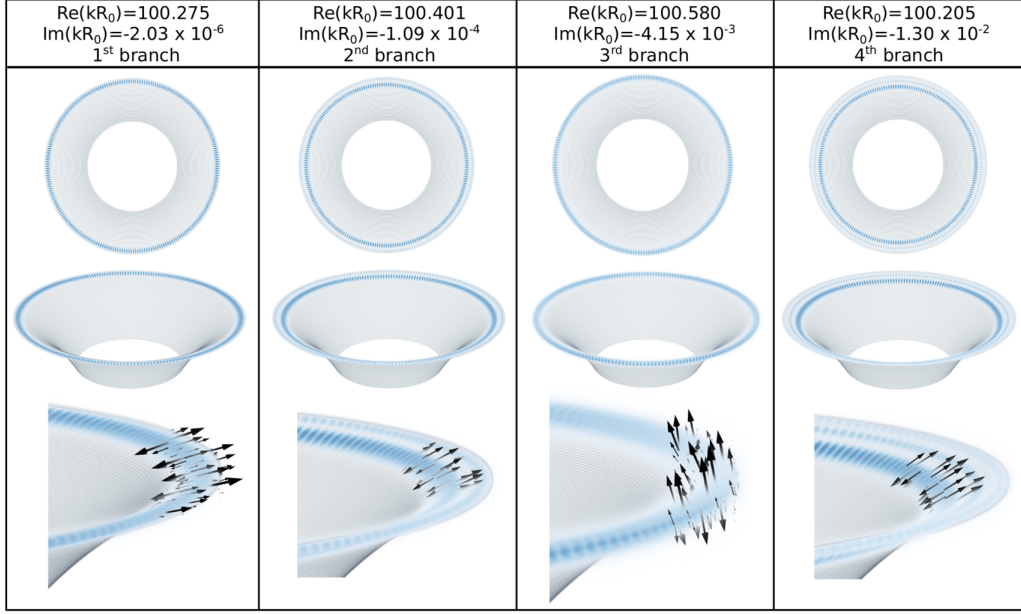


FIG. 12. Top and side views of $|\vec{E}|^2$ for four modes calculated for the tractoid by 3D FDTD simulations. A source at the central frequency $\text{Re}(kR_0) = 100.392$ is used for the first three columns, and at $\text{Re}(kR_0) = 100.205$ for the fourth column, with a frequency width $\text{Re}(kR_0) = 0.3351$. Each mode belongs to a different branch of the spectrum of Fig. 11. The bottom row shows a magnifications near the boundary of the tractoid where the electric field polarization is indicated by black arrows.

the bulk index, since it considers the part of the wave which propagates outside the guiding layer (that is in air with $n = 1$ in our case). The effective index can be calculated depending on the geometry of the device, on the bulk indexes of the involved layers, and on the polarization. In Appendix V. A of Ref. [13], we showed that the curvature of the propagating layer does not modify the effective index for the range of parameters of our experiments. For instance, the effective

index is 1.5 at 600 nm for TE modes and a thickness of $1 \mu\text{m}$ for the most confined modes.

(3) The *group index* takes into account the dispersion and must then be involved in formula (12) which considers the spacing between different wavelengths. A demonstration is given in Ref. [29], for instance. A calibration protocol is detailed in Appendix V.B of Ref. [13] and yields $n_g = 1.58 \pm 0.05$ for our experiments.

APPENDIX B: FOURIER TRANSFORM VERSUS LOMB-SCARGLE PERIODOGRAM

In this Appendix we discuss the sampling issue in Fourier transform calculations.

The DFT from discrete times t_n to frequency f is defined as

$$F(f) = \sum_{n=1}^N y_n e^{-2i\pi f t_n}, \quad (\text{B1})$$

where the data y is sampled at the times t_n , yielding a vector (y_n) of size N . Most DFT algorithms assume that the sampling of the data is regular, which means that $t_{n+1} - t_n$ is a constant. However, our experimental spectrum is not regularly sampled for the following two reasons:

(1) The pixels of the spectrometer camera are regularly spaced, but not the corresponding wavelengths λ_n because the grating of the spectrometer induces a nonlinear dispersion.

(2) The Fourier transform has to be calculated as function of the wave numbers k_n instead of the wavelengths $\lambda_n = 2\pi/k_n$, and this relation is not linear either.

Therefore, $\Delta_n = k_{n+1} - k_n$ is not constant for the experimental spectra. In the top panel of Fig. 4(b), we plot the Fourier transform of the tractoid experimental spectrum

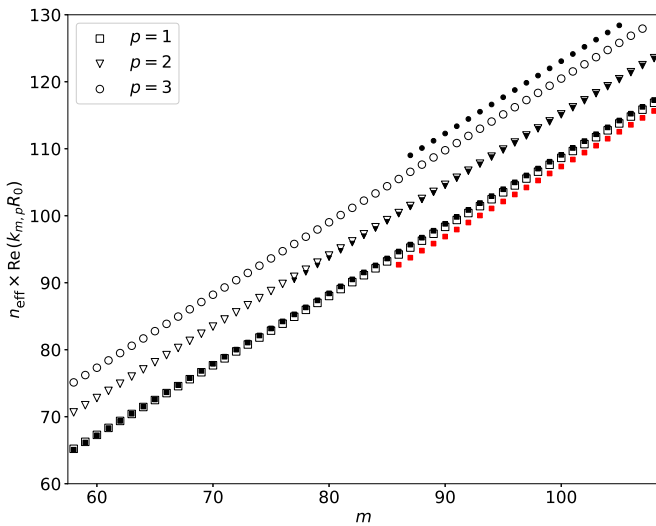


FIG. 13. Comparison of the quantity $n_{\text{eff}}\text{Re}(kR_0)$ between the analytic spectrum obtained in Sec. V D using $n_{\text{eff}} = 1$ (empty markers) and the numerical spectrum computed in Sec. VI by 3D FDTD using the usual effective index formula, for instance, Eq. (4) of Ref. [27] (filled markers, TE modes in black and TM modes in red).

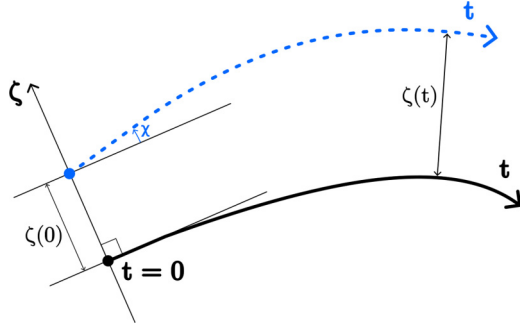


FIG. 14. Schematic for the computation of the propagation monodromy matrix. The black curve is the reference geodesic. It is parametrized by t such that the black dot corresponds to $t = 0$. The blue dotted line is the perturbed geodesic. The initial point at $t = 0$ is represented by the blue dot and is shifted by a distance $\zeta(0)$. The initial angle of the blue curve with respect to the reference is called χ , which is equal to $\zeta'(0)$.

of Fig. 4(a) calculated by the fast Fourier transform algorithm implemented on PYTHON in the `scipy` library, which corresponds to the modulus squared of formula (B1) assuming a constant sampling $\Delta = (k_2 - k_1)/N$. For comparison, in the middle panel of Fig. 4(b), we plot the modulus square of expression (B1) using the actual (k_n) , which are not equidistant. Note that the main peak is significantly shifted, revealing an artifact: the raw DFT (top panel) is peaked at a length which is longer than the perimeter and thus not consistent with WGMs, while in the middle panel the length is slightly shorter than the perimeter, in agreement with WGMs.

The Lomb periodogram $P_{LS}(f)$ plotted in the bottom panel of Fig. 4(b) was computed by the `lombscargle` routine implemented on PYTHON in the `scipy` library. Its expression is given in Ref. [30] and is similar to the formula in the seminal paper [31]. It is peaked at the same length as the well-sampled DFT in the middle panel of Fig. 4(b), however, the signal-to-noise ratio is much better than for the other two procedures. Therefore, we prefer the Lomb periodogram for analyzing the experimental spectra.

APPENDIX C: STABILITY COMPUTATIONS OF PERIODIC GEODESICS

This Appendix deals with the stability of geodesics on the tractoid surface. First, we derive the monodromy matrix for propagation \mathcal{P} , then the monodromy matrix for reflection \mathcal{R} . Finally, in Appendixes C3 and C4 we apply these formulas to periodic geodesics of the full and truncated tractoid, respectively.

1. Monodromy matrix for propagation

In this paragraph, we derive the monodromy matrix $\mathcal{P}(t)$ for the propagation along a geodesic on the tractoid surface. The usual $[\zeta, \sin(\chi)]$ coordinate system along the unperturbed trajectory is convenient, where ζ is the coordinate in a direction orthogonal to the geodesic tangent vector and χ is the deviation from the original propagation direction, as shown in Fig. 14. The coordinate $\sin(\chi)$ corresponds to $d\zeta/dt$, i.e., the local slope with respect to the unperturbed

geodesic. Within this linearized stability analysis, the matrix $\mathcal{P}(t)$ is defined as

$$\begin{pmatrix} \zeta(t) \\ \zeta'(t) \end{pmatrix} = \mathcal{P}(t) \begin{pmatrix} \zeta(0) \\ \zeta'(0) \end{pmatrix}. \quad (C1)$$

The Jacobi equation [16] describes the evolution of ζ along a given geodesic as a function of t and reads

$$\frac{d^2 \zeta}{dt^2} + K \zeta = 0, \quad (C2)$$

where K is the Gaussian curvature. As it is a constant equal to $-1/R_0^2$ for the tractoid, the general solution follows

$$\zeta(t) = \zeta(0) \cosh\left(\frac{t}{R_0}\right) + \zeta'(0) R_0 \sinh\left(\frac{t}{R_0}\right). \quad (C3)$$

Calculating the derivative of Eq. (C3) and comparing it with (C1) yields

$$\mathcal{P}(t) = \begin{pmatrix} \cosh\left(\frac{t}{R_0}\right) & R_0 \sinh\left(\frac{t}{R_0}\right) \\ \frac{1}{R_0} \sinh\left(\frac{t}{R_0}\right) & \cosh\left(\frac{t}{R_0}\right) \end{pmatrix}, \quad (C4)$$

which is the monodromy matrix for propagation along a geodesic on the tractoid. As expected, it has the property $\mathcal{P}(t_1)\mathcal{P}(t_2) = \mathcal{P}(t_1 + t_2)$ and it tends to the propagation matrix in a planar billiard in the limit of vanishing Gaussian curvature $R_0 \rightarrow \infty$. Note that $\det(\mathcal{P}) = 1$ and $\text{tr}(\mathcal{P}) = 2 \cosh(\frac{t}{R_0})$, which is larger than 2. Therefore, one of its eigenvalues is larger than 1 and the propagation is unstable as is expected on a surface with a negative curvature.

2. Monodromy matrix for reflection

In this section, we derive the monodromy matrix for the reflection of a ray on the surface boundary, following Ref. [21]. First, the general case of a given surface and a given boundary is studied. Then, this result is applied to the specific case of the tractoid.

a. The general case

The surface is defined by the vector $\vec{F}(u, v)$ [cf. Eqs. (1)–(3)] and parametrized by the variables u and v . The boundary is a curve belonging to the surface and defined by the vector $\vec{c}(t)$. The parametrization is chosen such that $\|\frac{d\vec{c}}{dt}\| = 1$. This choice simplifies the definition of the curvature of the curve, which is then the modulus of the second derivative: $\kappa = \|\frac{d^2\vec{c}}{dt^2}\|$.

In the vicinity of the reflection point, the incident and reflected geodesics belong to the plane which is tangent to the surface. This brings us back to the case of the reflection in the Euclidean plane. The monodromy matrix for the reflection with an incident angle χ with the surface normal is therefore

$$\mathcal{R}(\chi) = \begin{pmatrix} -1 & 0 \\ \frac{2\epsilon\kappa_t}{\cos \chi} & -1 \end{pmatrix}, \quad (C5)$$

where $\epsilon = \pm 1$ depends on the relative position of the light ray and the boundary, and κ_t is the tangent curvature (also known as the geodesic curvature). The parameter ϵ can be defined as

$$\epsilon = \text{sgn}\left(\vec{p} \cdot \frac{d^2\vec{c}}{dt^2}\right), \quad (C6)$$

where \vec{p} is the momentum of the ray *after* reflection on the boundary.

It remains to calculate the tangent curvature κ_t . To formalize that, we denote \vec{N} the unit vector normal to the surface. If the curvature of the boundary $\frac{d^2\vec{c}}{dt^2}$ is collinear to \vec{N} , then all the curvature is outside the tangent plane and thus the tangent curvature κ_t is zero. This is the case when the curve \vec{c} is a geodesic. Hence, a reflection on a geodesic is equivalent to a reflection on a plane mirror in the 2D Euclidean case.

In general, the vector normal to the surface \vec{N} and the curvature of the boundary $\frac{d^2\vec{c}}{dt^2}$ are not collinear. The tangent curvature κ_t is the modulus of the projection of $\frac{d^2\vec{c}}{dt^2}$ onto the tangent plane:

$$\kappa_t = \left\| \frac{d^2\vec{c}}{dt^2} - \left(\frac{d^2\vec{c}}{dt^2} \cdot \vec{N} \right) \vec{N} \right\|, \quad (\text{C7})$$

which is equivalent to

$$\kappa_t = \left\| \frac{d^2\vec{c}}{dt^2} \cdot \left(\frac{d\vec{c}}{dt} \times \vec{N} \right) \right\| \quad (\text{C8})$$

since $(\frac{d\vec{c}}{dt}, \vec{N}, \frac{d\vec{c}}{dt} \times \vec{N})$ is a direct orthonormal basis, and $\frac{d\vec{c}}{dt}$ is orthogonal to $\frac{d^2\vec{c}}{dt^2}$.

b. The case of the tractoid

In this paragraph, we consider the specific case where the tractoid is cut at a constant coordinate u_0 . Then, the boundary is a horizontal circle of radius $R = \frac{R_0}{\cosh(u_0)}$. Choosing the parametrization to satisfy $\|\frac{d\vec{c}}{dt}\| = 1$, we obtain

$$\vec{c}(t) = \left[R \cos\left(\frac{t}{R}\right), R \sin\left(\frac{t}{R}\right), R_0(u_0 - \tanh u_0) \right] \quad (\text{C9})$$

$$\frac{d^2\vec{c}}{dt^2} = -\frac{1}{R} \left[\cos\left(\frac{t}{R}\right), \sin\left(\frac{t}{R}\right), 0 \right] \quad (\text{C10})$$

with $t \in [0, 2\pi[$. Moreover, the unit vector normal to a surface is given by

$$\vec{N} = \frac{\frac{\partial \vec{F}}{\partial u} \times \frac{\partial \vec{F}}{\partial v}}{\left\| \frac{\partial \vec{F}}{\partial u} \times \frac{\partial \vec{F}}{\partial v} \right\|}, \quad (\text{C11})$$

where $\vec{F}(u, v) = [F_X(u, v), F_Y(u, v), F_Z(u, v)]$ is the surface equation given in formulas (1)–(3). For the tractoid, it yields

$$\vec{N} = \frac{1}{\cosh(u_0)} \begin{pmatrix} \sinh(u_0) \cos(v) \\ \sinh(u_0) \sin(v) \\ 1 \end{pmatrix}. \quad (\text{C12})$$

Due to rotational invariance, the tangent curvature κ_t does not depend on the coordinate v , so we can take $v = 0$, and after computation using formula (C8), we find $\kappa_t = \frac{1}{R_0}$ independent of u_0 . Therefore, for any u_0 , the monodromy matrix for the reflection is identical to the reflection matrix on a 2D circular boundary of radius R_0 .

$$\mathcal{R}(\chi) = \begin{pmatrix} -1 & 0 \\ \frac{2\epsilon}{R_0 \cos \chi} & -1 \end{pmatrix} \quad (\text{C13})$$

with $\epsilon = +1$ for the reflection on the tractoid boundary $u = 0$, while it is $\epsilon = -1$ for $u = u_0$.

3. Stability computations for the full tractoid

In this paragraph, the full infinite tractoid is considered for which only the periodic geodesics described in Fig. 5 exist. Using the same notations as in Sec. IV, the total monodromy matrix for the propagation of the (M, P) -periodic geodesic can be written

$$\mathcal{M}(M, P) = [\mathcal{M}_0(M, P)]^M \quad (\text{C14})$$

with $\mathcal{M}_0(M, P) = \mathcal{P}(M, P)\mathcal{R}(M, P)$ the product of the propagation matrix and the reflection matrix for the (M, P) -periodic geodesic. Combining formulas (13) and (14) with the expression of the matrices in Eqs. (15) and (16), we obtain the following expressions for $\mathcal{P}(M, P)$:

$$\mathcal{P}(M, P) = \begin{pmatrix} \frac{\Pi_1}{R_0} & R_0 \Pi_2 \\ \frac{\Pi_2}{R_0} & \Pi_1 \end{pmatrix}$$

with

$$\begin{aligned} \Pi_1 &= 2 \left(\frac{P\pi}{M} \right)^2 + 1 \\ \Pi_2 &= 2 \left(\frac{P\pi}{M} \right) \left[1 + \left(\frac{P\pi}{M} \right)^2 \right]^{\frac{1}{2}}, \end{aligned}$$

and for $\mathcal{R}(M, P)$

$$\mathcal{R}(M, P) = \begin{pmatrix} -1 & 0 \\ \frac{2}{R_0} \frac{M}{P\pi} \left[1 + \left(\frac{P\pi}{M} \right)^2 \right]^{\frac{1}{2}} & -1 \end{pmatrix}. \quad (\text{C15})$$

The computation of the trace of $M_0(M, P)$ is then cumbersome but straightforward and yields $\text{tr}(M_0) = 2$. Thus, these periodic geodesics are marginally stable.

4. Stability computations for the truncated tractoid

In this subsection, we consider a truncated tractoid. Once again, it is much more convenient to work in the Poincaré half-plane because the lower boundary at $u = 0$ and the top boundary at $u = u_{\max}$ are respectively mapped to the straight horizontal lines $y = 1$ and $y = y_{\max} = \cosh(u_{\max})$. The periodic geodesics studied in Sec. IV B still exist provided that u_{\max} is high enough, i.e., that $\theta_{M,P}$ is smaller than a maximal angle $\theta_{\max} = \arccos(\frac{1}{y_{\max}})$. They remain marginally stable.

However, rays can also be reflected on the top boundary and therefore a new type of periodic geodesic appears. Since the top boundary is also a straight horizontal line, the reflection angles are preserved. It entails that such periodic geodesics are composed of a series of identical patterns, made of circular arcs as illustrated in Fig. 15. Each such periodic geodesic is uniquely identified by a pair of positive relatively prime integers that will also be called (M, P) , M being the number of reflections on the lower boundary and P the rotation number. The (4,3) periodic geodesic is sketched in Fig. 15.

Once again, $L_{M,P}$ is the total length of the (M, P) periodic geodesics, $\theta_{M,P}$ the complementary angle of the incident angle on the lower boundary, and $\alpha_{M,P}$ the incident angle on the

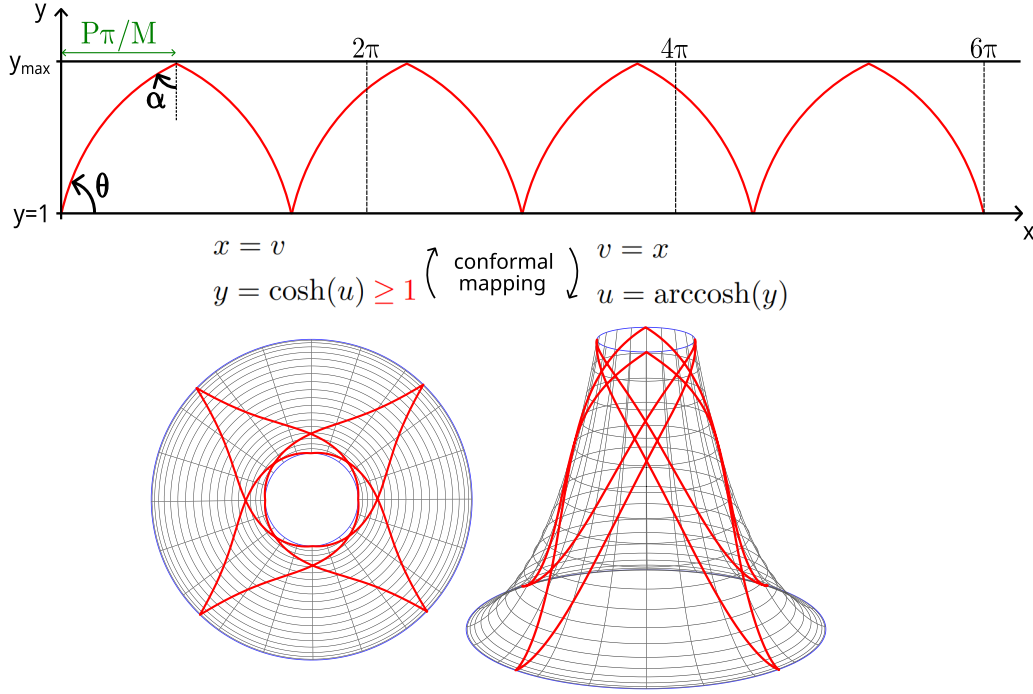


FIG. 15. Periodic geodesic for the truncated tractoid with $(M, P) = (4, 3)$ in the Poincaré half-plane (top) and on the actual tractoid (bottom).

upper boundary (cf. Fig. 15 for the notations). After simplification, we obtain

$$L_{M,P} = 4MR_0 \operatorname{arcsinh} \left(\frac{1}{2} \sqrt{\frac{\left(\frac{P}{M}\pi\right)^2 + (y_{\max} - 1)^2}{y_{\max}}} \right) \quad (\text{C16})$$

$$\theta_{M,P} = \arctan \left(\frac{y_{\max}^2 - 1}{2\frac{P}{M}\pi} + \frac{P}{M} \frac{\pi}{2} \right) \quad (\text{C17})$$

$$\alpha_{M,P} = -\arctan \left(\frac{y_{\max}}{\frac{y_{\max}^2 - 1}{2\frac{P}{M}\pi} - \frac{P}{M} \frac{\pi}{2}} \right). \quad (\text{C18})$$

As previously, the monodromy matrix of the (M, P) periodic geodesics $\mathcal{M}'(M, P)$ can be written

$$\mathcal{M}'(M, P) = [\mathcal{M}'_0(M, P)]^M. \quad (\text{C19})$$

The matrix $\mathcal{M}'_0(M, P)$ is a product of matrices for propagation and reflection of the form

$$\mathcal{M}'_0(M, P) = \mathcal{P}(M, P)\mathcal{R}(M, P)\mathcal{P}(M, P)\tilde{\mathcal{R}}(M, P), \quad (\text{C20})$$

where $\mathcal{P}(M, P)$ accounts for the propagation from the upper boundary to the lower one or the opposite, $\mathcal{R}(M, P)$ for the reflection at the boundary $y = 1$, and $\tilde{\mathcal{R}}(M, P)$ for the reflection at the boundary $y = y_{\max}$. Note that $\epsilon = +1$ in $\mathcal{R}(M, P)$, and $\epsilon = -1$ in $\tilde{\mathcal{R}}(M, P)$.

After cumbersome but trivial calculations, we obtain that the trace of $\mathcal{M}'_0(M, P)$ is equal to 2. These periodic geodesics are thus also marginally stable as expected. It should be noted that all the periodic geodesics discussed in this article come in families since they are invariant under rotation (that is, a shift of the starting coordinate v).

APPENDIX D: GENERAL WAVE EQUATION FOR A SURFACE OF REVOLUTION

In Sec. V, the wave equation is solved using the Poincaré half-plane representation for its simplicity. Nevertheless, the wave equation can also be derived directly from the actual tractoid surface using separation of variables. This method applies to any surface of revolution (SOR).

The wave equation is the scalar Helmholtz equation

$$(\Delta + k^2)\psi = 0, \quad (\text{D1})$$

where k is the wave number in vacuum and Δ is the 2D scalar Laplacian in any coordinate system. The metric tensor for a SOR can always be written

$$ds_{\text{SOR}}^2 = E(u)du^2 + G(u)dv^2. \quad (\text{D2})$$

Therefore, the 2D scalar Laplacian Δ in the (u, v) local coordinate system is

$$\Delta\psi = \frac{1}{\sqrt{E(u)G(u)}} \frac{\partial}{\partial u} \left(\sqrt{\frac{G(u)}{E(u)}} \frac{\partial \psi}{\partial u} \right) + \frac{1}{G(u)} \frac{\partial^2 \psi}{\partial v^2}. \quad (\text{D3})$$

The general form of the wave equation for a SOR becomes

$$\frac{1}{\sqrt{EG}} \partial_u \left(\sqrt{\frac{G}{E}} \partial_u \Phi \right) + \frac{1}{G} \partial_v^2 \Phi + k^2 \Phi = 0. \quad (\text{D4})$$

Using the separation ansatz $\Phi(u, v) = f(u)g(v)$, we obtain

$$\sqrt{\frac{G}{E}} \frac{1}{f(u)} \frac{d}{du} \left(\sqrt{\frac{G}{E}} f'(u) \right) + k^2 G + \frac{g''(v)}{g(v)} = 0, \quad (\text{D5})$$

which shows that the variables u and v are indeed separable. The function g must be 2π -periodic, hence $g(v) = Ce^{imv}$ with $C \in \mathbb{C}$ and $m \in \mathbb{Z}$. Finally, f is the solution of the following equation:

$$\sqrt{\frac{1}{EG}} \frac{d}{du} \left(\sqrt{\frac{G}{E}} f'(u) \right) + \left[k^2 - \frac{m^2}{G} \right] f(u) = 0. \quad (\text{D6})$$

For the tractoid, E and G are replaced by their expressions in Eqs. (7) and (8). The wave equation then becomes

$$f'' - \frac{1}{\tanh u} f' + \left(\frac{(kR_0)^2}{\cosh^2 u} - m^2 \right) \sinh^2 u f = 0. \quad (\text{D7})$$

The following variable and function changes,

$$\eta = \ln(\cosh u) \quad (\text{D8})$$

$$f(\eta) = e^{\frac{\eta}{2}} \xi(\eta), \quad (\text{D9})$$

lead to the Schrödinger-like equation (24). Therefore, this wave equation can be solved with the procedure described in Sec. V.

APPENDIX E: MATRICES FOR SOLVING THE SCALAR WAVE EQUATION

In this Appendix, we provide some elements to solve the scalar wave equation of Sec. V D via an eigenvalue problem.

We start by spatially discretizing the problem. We consider $N + 2$ equally spaced points $0 = \eta_0 < \eta_1 < \dots < \eta_N < \eta_{N+1} = \eta_{\max}$ and we note $\xi_i = \xi(\eta_i)$ for $i \in \llbracket 0, N + 1 \rrbracket$. For a sufficiently small spatial step $h = \frac{\eta_{N+1} - \eta_0}{N+1}$, the second order derivative is well approximated by the discretization

$$\frac{d^2 \xi}{d\eta^2} \Big|_{\eta=\eta_i} = -\frac{-\xi_{i-1} + 2\xi_i - \xi_{i+1}}{h^2} + \mathcal{O}(h^2) \quad (\text{E1})$$

for $i \in \llbracket 1, N \rrbracket$.

The following matrices are then used:

$$\mathcal{T} = \frac{1}{h^2} \begin{pmatrix} 2 & -1 & 0 & \dots & 0 \\ -1 & 2 & -1 & & \\ & & \ddots & & \\ 0 & \dots & 0 & -1 & 2 \end{pmatrix} \quad (\text{E2})$$

$$\mathcal{V} = m^2 \begin{pmatrix} e^{2\eta_1} & & \\ & \ddots & \\ & & e^{2\eta_N} \end{pmatrix}. \quad (\text{E3})$$

APPENDIX F: WEYL'S LAW

The average number of eigenmodes $N_{\text{smooth}}(x)$ in an energy interval $[0, x]$ with $x := kR_0$ is given by Weyl's law [4],

$$N_{\text{Weyl}}(x) = \frac{\mathcal{A}}{4\pi} x^2 - \frac{\mathcal{P}}{4\pi} x - \frac{\mathcal{A}}{12\pi}, \quad (\text{F1})$$

where \mathcal{P} and \mathcal{A} are the perimeter of the tractoid in units of R_0 and the area of the tractoid in units of R_0^2 , respectively. For the full tractoid (i.e., $v \in [0, 2\pi[$), each mode is twofold degenerate. We then consider the half-tractoid (i.e., $v \in [0, \pi[$). In that case, the perimeter and the area are

$$\mathcal{P} = \pi \left(1 + \frac{1}{y_{\max}} \right) + \ln(y_{\max}) \quad (\text{F2})$$

$$\mathcal{A} = \pi \left(1 - \frac{1}{y_{\max}} \right). \quad (\text{F3})$$

APPENDIX G: NEAREST-NEIGHBOR SPACING DISTRIBUTION

The nearest-neighbor spacing distribution $P(s)$ is the probability to find a spacing of two adjacent eigenvalues in an interval $[s, s + ds]$. For a proper comparison with the Bohigas-Giannoni-Schmit conjecture [26] which makes statements about universal spectral properties, we need to extract the k -dependence of the eigenmode mean density, which is deduced from Weyl's law (see Appendix F). This process is referred to as *unfolding* [32]. It leads to a mean spacing equal to 1, while the statistical properties of the fluctuations are preserved.

The unfolded eigenvalues \tilde{x}_i are obtained by replacing the eigenvalues x_i by Weyl's law

$$\tilde{x}_i = N_{\text{Weyl}}(x_i) - \frac{1}{2}. \quad (\text{G1})$$

Finally, the spacings between adjacent unfolded eigenvalues is given as

$$s = \tilde{x}_{i+1} - \tilde{x}_i. \quad (\text{G2})$$

The nearest-neighbor spacing distribution $P(s)$ is the histogram of the spacings s . In Fig. 10(b) it is normalized such that the area of the histogram is one.

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